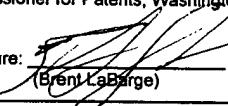




I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: August 7, 2002

Signature:   
(Brent LaBarge)

#3  
MP/S  
Docket No.: SEPR-P01-051  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

In re Patent Application of:  
Currie et al.

Application No.: 10/087596

Group Art Unit: 1614

Filed: March 1, 2002

Examiner: Not Yet Assigned

For: Compositions And Methods For Management Of  
Serotonin-Mediated Disorders

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

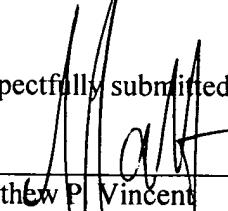
Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed March 22, 2002, Applicants respectfully submit a Combined Declaration and Power of Attorney and the Filing Fee for the Application (as shown on accompanying Fee Transmittal).

Please charge our Deposit Account No. 18-1945 in the amount of \$1,896.00 covering the fee set forth in 37 CFR 1.16(e) and the filing fee for the subject application. The Commissioner is hereby authorized to credit any overpayment or charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. SEPR-P01-051. A duplicate copy of this paper is enclosed.

Dated: August 7, 2002

Respectfully submitted,

By \_\_\_\_\_  


Matthew P. Vincent

Registration No.: 36,709

ROPES & GRAY

One International Place

Boston, Massachusetts 02110-2624

(617) 951-7000

(617) 951-7050 (Fax)

Attorneys for Applicant



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,596	03/01/2002	Marc Curtie	SEPR-P01-051

28120  
 ROPES & GRAY  
 ONE INTERNATIONAL PLACE  
 BOSTON, MA 02110-2624

COPY OF PAPERS  
 ORIGINALLY FILED

**CONFIRMATION NO. 7919**

**FORMALITIES LETTER**



\*OC000000007695400\*

Date Mailed: 03/22/2002



**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2002.
  - \$1134 for 63 total claims over 20.
  - \$588 for 7 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2872.**

*A copy of this notice **MUST** be returned with the reply.*

*Margaret*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

R&G  
 Docket No.: SEPR-P01-051

Action: OAMP  
 Stat. Deadline: 22May02/22Oct02



# UNITED STATES PATENT AND TRADEMARK OFFICE

X3  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,596	03/01/2002	Marc Currie	SEPR-P01-051

28120  
**ROPE & GRAY**  
 ONE INTERNATIONAL PLACE  
 BOSTON, MA 02110-2624

COPY OF PAPERS  
 ORIGINALLY FILED

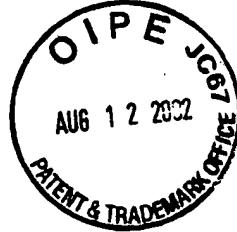
**CONFIRMATION NO. 7919**

**FORMALITIES LETTER**



\*OC000000007695400\*

Date Mailed: 03/22/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2872.

*A copy of this notice **MUST** be returned with the reply.*

*margaret*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/17/2002	CC401	10087596
00000054	181945	
370.00		CH
567.00		CH
294.00		CH
65.00		CH
01 FC-201		
02 FC-203		
03 FC-202		
04 FC-204		
05 FC-205		

#4

AUG 12 2002



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,896.00)

## Complete if Known

Application Number	10/087596
Filing Date	March 1, 2002
First Named Inventor	Mark G. Currie
Examiner Name	Not Yet Assigned
Group Art Unit	1614
Attorney Docket No.	SEPR-P01-051

## METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account				

Deposit Account Number 18-1945

Deposit Account Name Ropes &amp; Gray

The Commissioner is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below       Credit any overpayments
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1,440	218	720 Extension for reply within fourth month
128	1,960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,280	241	640 Petition to revive - unintentional
142	1,280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	740	246	370 Filing a submission after final rejection (37 CFR 1.129(a))
149	740	249	370 For each additional invention to be examined (37CFR 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 525.00)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type)	Matthew P. Vincent	Registration No. (Attorney/Agent)	36,709	Telephone	(617) 951-7739
Signature				Date	August 7, 2002

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: August 7, 2002

Signature:

(Brent LaBarge)

8877312

 08/13/2002 CHAU1 00000054 181945 10087596  
 460.00 CH  
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